Parallel Data Object Creation: Scalable Metadata Management in Parallel I/O Library PDSW 2025

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Motivation and Background

- Data Object Creation in Parallel I/O Library
 - Data objects: primary units of storage that refers to the named containers that store actual data
 - In HDF5: *Dataset, Group*

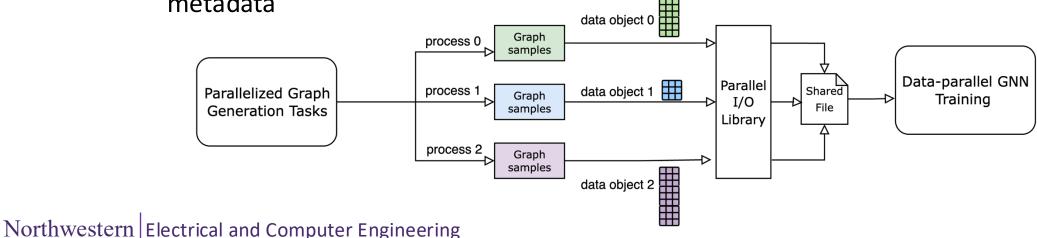
- In netCDF: Variable, Dimension
- Metadata: the data describing the data objects. Example: name, data type, and annotation.
 - With I/O libraries, creating data objects requires metadata from the user application.
 - In typical use cases, metadata is relatively small in volume.
- Data Object Creation
 - Parallel HDF5
 - Collective operation with identical metadata across processes
 - HDF5 for DAOS file system version mentions independent object creations (H5daos_set_all_ind_metadata_ops)
 - PnetCDF
 - Requires collective object creation with identical metadata across all processes.
 - Create new data objects in **define mode** and write data to those objects in **data mode**. The **end-define stage** marks the transition, during which the root process writes metadata.

Motivation and Background

- Case Study: Neutrino particle collision simulation from the Exa.TrkX project
 - Sensor collect data within fixed time intervals representing detected particle activity.
 - Volume of data objects and their metadata is proportional to the number of sensors and the duration of the experiment.
 - GNN-based trajectory reconstruction task
 - Training sample contains multiple variable-sized arrays (nature of graph data)
 - The GNN model training task reads a single input file containing all the graph samples

• The upstream graph generation task runs in parallel and thus must synchronize metadata

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Metadata Management Challenge

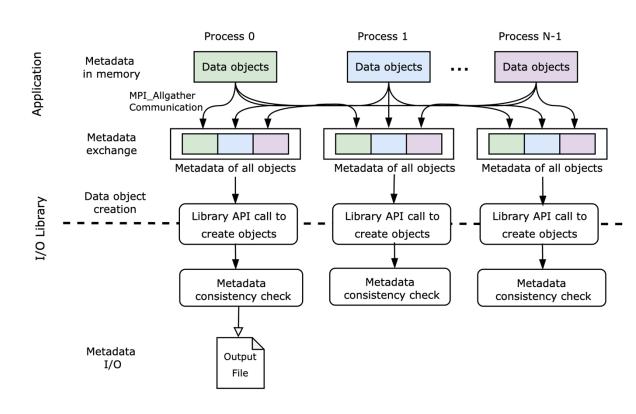
- Data Management Challenge: Data object creation is not scalable in modern parallel I/O libraries as the library requires collective operation.
 - Existing I/O libraries are not designed for handling a large number of unique data objects
 - Variable-sized, irregular scientific data has no predictable dimensions. In parallel mode, one process is unaware of the metadata associated with data objects created by other processes.
 - Contention on metadata consistency check
 - Metadata consistency check: to ensure that the metadata of data objects to be created are the same among the processes and each data object is uniquely defined
 - PnetCDF library uses a hash table for quick lookup for object names in consistency check
 - HDF5 library uses B-trees in symbol table to manage this

Design and Implementation

- Proposed Solution: parallel data object creation while achieving a scalable performance through developing a specialized variant of I/O library and novel file header format
 - Enable independent data object creation in the library API
 - The I/O library should distinguish between shared and non-shared data objects during consistency checks.
 - Reduce metadata consistency check overheads
- Main approaches:
 - Application-level baseline approach
 - Library-level baseline approach (independent data object creation)
 - New header file approach (scalable metadata management)

Application-level Baseline Approach

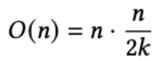
- Application-level Baseline Approach
 - To meet parallel I/O library requirements for collective data object creation, applications need explicitly synchronize metadata first.
 - Metadata is first serialized into a single send buffer on each process
 - Makes an MPI_Allgather communication call to exchange metadata
 - Makes the high-level I/O library calls to create created data objects

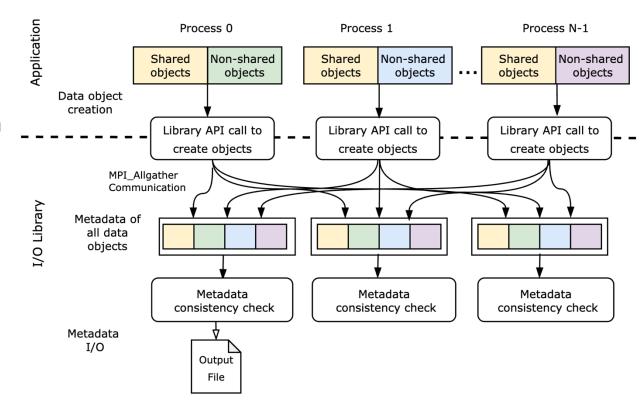


Metadata flow in the application-level baseline approach

Library-level Baseline Approach

- Library-level Baseline Approach
 - Offloads the metadata synchronization from application to library
 - Enables independent data object creation
 - Shared data objects created collectively
 - Non-shared objects are created independently.
 - The I/O library automatically detects shared/non-shared objects
 - Baseline consistency check cost:





Metadata flow in the library-level baseline approach

With uniform hashing, inserting n objects into a table of size k gives n/k objects per slot, so average cost ≈ n/2k comparisons per insertion

New Header Format Approach

- New File Header Format Approach
 - Prior approaches require all processes to hold a full copy of metadata
 - A new file header format that can reduce communication and consistency check
 - Header reorganized into 2 sections:
 - Index table stores references to individual metadata blocks
 - List of metadata blocks contains the actual metadata for data objects, where each block is can be created by one process independently

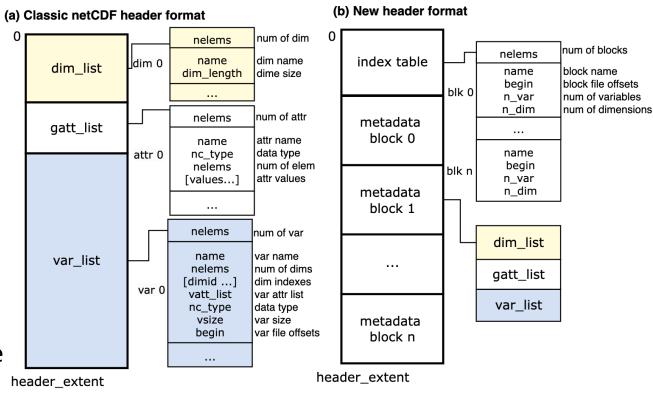
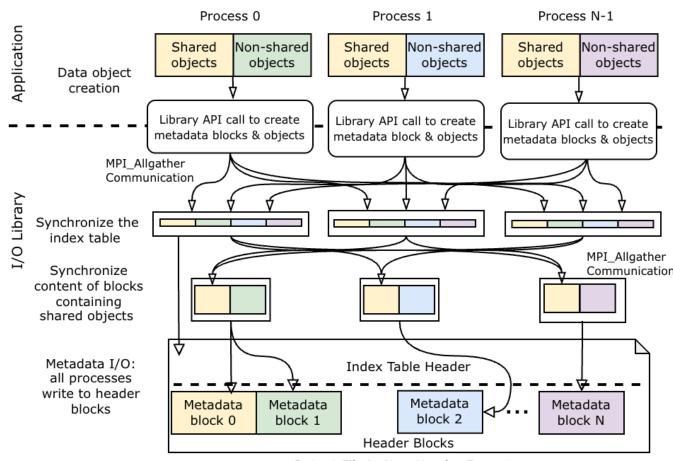


Figure (a) :the original classic netCDF file header (b) the proposed new header format

New Header Format Approach

- New File Format Approach
 - Identifies each object using a combination of metadata block and data object name
 - Shared and non-shared objects are identified by metadata block
 - Metadata consistency check is applied in a hierarchical manner
 - Data object name conflicts locally checked within each block
 - Block name are checked at index table synchronization



Output File in New Header Format

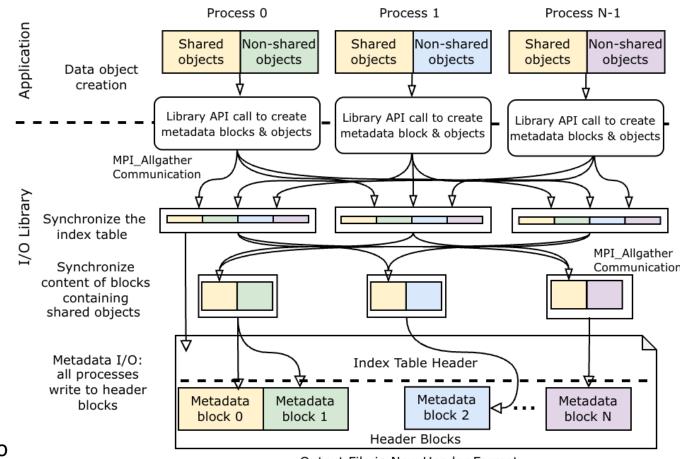
Metadata flow in the new file format approach

New Header Format Approach

- New File Format Approach
 - Metadata flow:
 - Step 1: Only metadata block names and sizes (index table) are synchronized by MPI communication
 - Step 2: Synchronized shared metadata blocks (if any)
 - Step 3: Root process writes index table.
 All processes parallelly writes their metadata blocks to header sections
 - Computation cost for consistency check

$$O\left(\frac{n}{p} \cdot \frac{n}{2kp}\right) + O\left(p \cdot \frac{p}{2k}\right) \approx O\left(\frac{n^2}{2kp^2}\right)$$

When p << n, a speedup by a factor of p² compared to baseline cost



Output File in New Header Format

Metadata flow in the new file format approach

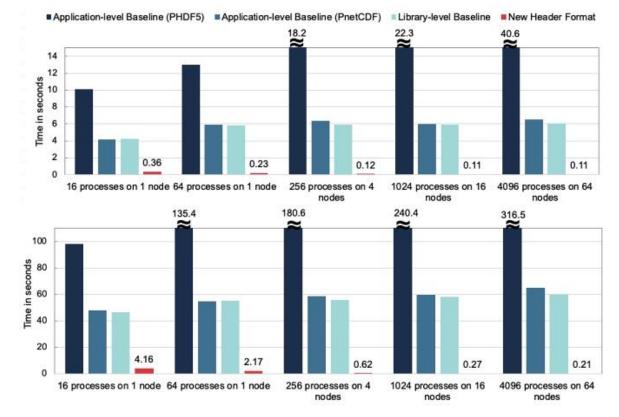
Performance Evaluation

- Experimental Settings
 - Datasets from Neutrino particle collision simulation: dataset_568k, dataset_5m
 - In HDF5: 568,480 dataset objects bucketed in 35,530 groups
 - In netCDF: 568,480 variables and 852715 dimensions
 - Strong scaling case experiment (e.g. dataset_5m below)

No. of processes	Total	4	16	64	256	1024	4096
No. of netCDF Variables assigned per process	5684800	1421200	355300	88825	22206	5552	1388
No. of netCDF Dimensions assigned per process	8527150	2131788	532947	133237	33309	8327	2082
Metadata amount assigned (MB)	802.20	200.55	50.14	12.53	3.13	0.78	0.20

- Max MPI processes: 4096 (across 64 HPC nodes)
- System: Perlmutter at NERSC
- File system: Lustre with 248 OSTs

Timings collected for writing metadata



End-to-end metadata write time when using *dataset* 568k

(top) and dataset_1G (bottom) Northwestern | Electrical and Computer Engineering

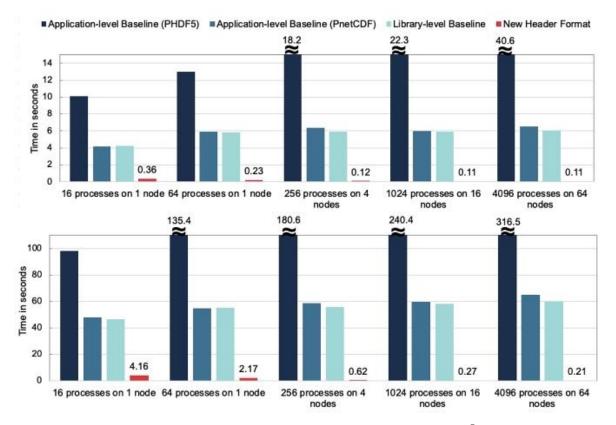
- Application-level and library-level baseline approach do not scale at all as the number of processes increases.
- Consistency check is the major bottleneck
- HDF5 has periodical metadata cache synchronization. If following parameters are appropriately tuned and configured, data object creation time can be effectively reduced
 - dirty_bytes_threshold
 - istore k
 - metadata_write_strategy
 - metadata_block_size

• Timings breakdowns for writing metadata

Approaches	Phases		dataset_568k	:	dataset_5m		
11		16 processes on 1 node	256 processes on 4 nodes	4096 processes on 64 nodes	16 processes on 1 node	256 processes on 4 nodes	4096 processes on 64 nodes
Application-level Baseline (PHDF5)	Metadata Exchange Metadata Consistency Check Others	0.1368 7.5985 2.3453	0.1853 15.1938 2.8399	0.3819 37.4385 2.8183	1.3526 73.2926 23.5353	1.6456 152.0087 26.9642	2.0878 288.3926 26.0051
Application-level Baseline (PnetCDF)	Metadata Exchange Metadata Consistency Check Others	0.1143 3.4065 0.6429	0.2333 4.6854 1.0069	0.2607 5.0956 1.1562	2.1035 39.3717 6.4511	4.3414 44.4244 9.8935	5.4854 48.1038 11.7557
Library-level Baseline (PnetCDF)	Metadata Exchange Metadata Consistency Check Others	0.1360 3.3178 0.7826	0.2519 4.4949 1.1282	0.2519 4.5481 1.2749	1.5054 36.7110 8.4328	2.5563 41.3237 12.2421	2.5563 44.4320 13.1527
New Header Format (PnetCDF)	Metadata Exchange Metadata Consistency Check Others	0.0001 0.2138 0.1493	0.0005 0.0153 0.0998	0.0019 0.0016 0.1027	0.0001 2.4409 1.7215	0.0006 0.0069 0.6156	0.0068 0.0130 0.1931

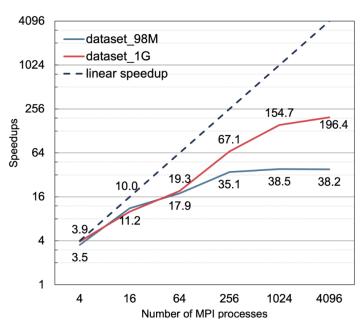
Timing breakdowns of metadata write time when using $dataset_$ 568k (top) and $dataset_$ 1G (bottom)

Speedups of new header format approach



End-to-end metadata write time when using $dataset_568k$ (top) and $dataset_1G$ (bottom)

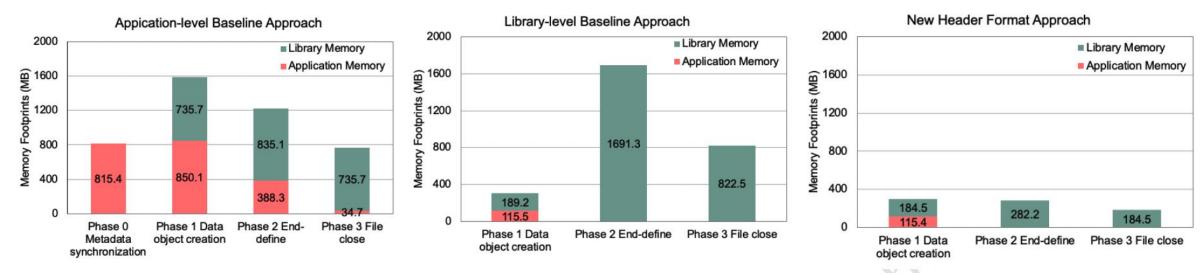
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Speedups of the new header format approach

- A significant improvements for the new header format approach over other approaches
- Achieves a better scalability. Speedup of 196 is observed when running 4096 processes on the large dataset

- Memory Footprint
 - Memory consumption reaches its high watermark after metadata are synchronized and duplicated in the created data objects.
 - New file format approach consumes 25% of the memory used by baseline



Memory footprints for all proposed approached using $dataset_98M$ running 4 MPI processes on 1 node. The values are the sum of memory footprints of all processes.

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Metadata Read Test

- Metadata Read Test
 - Weak-scaling experimental setting where each process reads the complete set of metadata
 - With the new file format approach, application can also selectively read metadata blocks
 - Input test file: 512 metadata blocks
 - The new header format shows read performance comparable to the classic format

	dataset_568k			dataset_5m			
No. of processes			512 procs on 8 nodes				
Classic netCDF format	1.38	1.58	1.60	15.25	16.36	16.57	
New header format	1.81	2.02	2.28	15.38	15.45	15.80	

Timings of reading the entire file header using the proposed new header format and classic format for two datasets.

Conclusion

- Summary and Future Works
 - Data object creation can become a major bottleneck in large-scale workflows when each process generates unique data objects.
 - The new header format achieves scalable performance by enabling parallel metadata writes for non-shared data objects.
 - Future works may include optimizing library memory management and the refinement of new header format.

Thank you!

Appendix

• BP5 Two level metadata aggregation and reduction reduced memory impact of collecting metadata and therefore is more scalable in terms of numbers of variables and writers than BP4